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| <b>FORM PTO-1449 (SUBSTITUTE)</b><br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br><b>INFORMATION DISCLOSURE<br/>         STATEMENT BY APPLICANT</b><br>(37 CFR 1.98(b))  |   |                    |          | Attorney Docket No.: P2001,0463<br>Appl. No.: <u>10/747703</u><br><br>Applicant: HERBERT BRUNNER ET AL.<br><br>Filing Date: December 29, 2003<br>Group Art Unit: <u>2826</u> |       |           |                     |
| <b>EXAMINER INITIALS</b>  |   |                    |          |  |       |           |                     |
|   |   | PATENT NO.         | DATE     | PATENTEE   | CLASS | SUB CLASS | FILING DATE         |
|   | A | 6,051,848          | 4/18/00  | Webb   |       |           |                     |
|   | B | 5,942,770          | 8/24/99  | Ishinaga et al.  |       |           |                     |
|   | C | 5,682,066          | 10/28/97 | Gamota et al.  |       |           |                     |
|   | D | 5,981,979          | 11/9/99  | Brunner  |       |           |                     |
|   | E | 2002/0195935<br>A1 | 12/26/02 | Jager et al.   |       |           |                     |
|   | F | 6,066,861          | 5/23/00  | Höhn et al.  |       |           |                     |
|   | G | 5,035,483          | 7/30/91  | Waitl et al.   |       |           |                     |
|   | H |                    |          |  |       |           |                     |
|   | I |                    |          |  |       |           |                     |
| <b>FOREIGN PATENT DOCUMENT</b>  |   |                    |          |  |       |           |                     |
|   |   | DOCUMENT NO.       | DATE     | COUNTRY  | CLASS | SUB CLASS | TRANSL.<br>YES   NO |
|   | J | 38 04 293 A1       | 8/24/89  | Germany  |       |           |                     |
|   | K | 196 49 650 A1      | 6/4/98   | Germany  |       |           |                     |
|   | L | 199 63 806 A1      | 7/19/01  | Germany  |       |           |                     |
|   | M | 01/50540 A1        | 7/12/01  | WIPO   |       |           |                     |
|   | N | 196 38 667 A1      | 4/2/98   | Germany  |       |           |                     |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |   |                    |          |  |       |           |                     |
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| EXAMINER:   |   |                    |          | DATE CONSIDERED: <u>10/30/05</u>   |       |           |                     |
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| <b>FOREIGN PATENT DOCUMENT</b>  |   |              |          |  |       |              |                     |
|   |   | DOCUMENT NO. | DATE     | COUNTRY  | CLASS | SUB<br>CLASS | TRANSL.<br>YES   NO |
| ON  | J | 98/12757     | 3/26/98  | WIPO   |       |              |                     |
|   | K | 11346005 A   | 12/14/99 | Japan  |       |              |                     |
|   | L | 07169893     | 7/4/95   | Japan  |       |              |                     |
|   | M | 06204569     | 7/22/94  | Japan  |       |              |                     |
| ON  | N | 57169281     | 10/18/82 | Japan  |       |              |                     |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |   |              |          |  |       |              |                     |
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|   |   | DOCUMENT NO. | DATE     | COUNTRY  | CLASS | SUB<br>CLASS | TRANSL.<br>YES   NO |
| PW  | J | 11346008 A   | 12/14/99 | Japan  |       |              |                     |
|   | K | 3-270083 A   | 12/2/91  | Japan  |       |              |                     |
|   | L | 2000077720 A | 3/14/00  | Japan  |       |              |                     |
|   | M | 06065473     | 3/8/94   | Japan  |       |              |                     |
| PW  | N | 0 646 971 A2 | 4/5/95   | Europe   |       |              |                     |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |   |              |          |  |       |              |                     |
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|   |   | DOCUMENT NO. | DATE     | COUNTRY  | CLASS | SUB<br>CLASS | TRANSL.<br>YES   NO |
| <i>pn</i>   | J | 0 400 175 A1 | 12/5/90  | Europe   |       |              |                     |
| <i>pn</i>   | K | 98/54929     | 12/3/98  | WIPO   |       |              |                     |
| <i>pn</i>   | L | 97/50132     | 12/31/97 | WIPO   |       |              |                     |
|   | M |              |          |  |       |              |                     |
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Sheet 1 of 1

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(37 CFR 1.98(b))Attorney Docket No.:  
P2001,0463Applic. No.  
10/747,703

Applicant

Herbert Brunner et al.

Filing Date  
December 29, 2003

Group Art Unit

2826

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIALS |   | PATENT NO. | DATE | PATENTEE | CLASS | SUB<br>CLASS | FILING<br>DATE |
|----------------------|---|------------|------|----------|-------|--------------|----------------|
|                      | A |            |      |          |       |              |                |
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## FOREIGN PATENT DOCUMENT

|           |   | DOCUMENT NO. | DATE     | COUNTRY | CLASS | SUB<br>CLASS | TRANSL.<br>YES   NO |
|-----------|---|--------------|----------|---------|-------|--------------|---------------------|
| <i>IN</i> | J | 311269       | 07/21/97 | Taiwan  |       |              | X                   |
|           | K |              |          |         |       |              |                     |
|           | L |              |          |         |       |              |                     |
|           | M |              |          |         |       |              |                     |
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER

DATE CONSIDERED

10/30/05

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